

# Performance of Radiation-Based Reference Evapotranspiration Equations Vs FAO 56-PM Model at Sub-Humid Region of Uttarakhand

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**Abstract**—In the present study, the comparative performance of 20 radiation-based equations for estimating reference evapotranspiration ( $ET_0$ ) was statistically analyzed with reference to well proven Food and Agriculture Organization Penman-Monteith (FAO-56 PM) model for sub-humid Pantnagar, located in the *Tarai* region of Uttarakhand. The higher value of Agreement index of  $ET_0$  values obtained between FAO24-Radiation and FAO-56 PM  $ET_0$  methods observed on different timescales endorses its appropriateness as well. The Castaneda-Rao method gave ratio of  $ET_0$  method /  $ET_0$  FAO-56 PM almost equal to 1.00 at all timescales.

**Index Terms**—Radiation based; reference evapotranspiration; sub-humid.

## 1. INTRODUCTION

In India, about 70 percent population is dependent on agriculture and allied activities. Due to inadequate and uneven distribution of rainfall during crop growth period, it becomes necessary to apply additional water to the soil in the form of irrigation for plant use. In order to improve crop water use efficiency, accurate estimation of evapotranspiration (ET), the sum of amount of water returned to atmosphere through combined process of evaporation and transpiration, is essentially required for efficient water management.

Lysimeter are normally used for measuring ET directly by considering change in soil moisture from known volume of soil covered with vegetation [1], but its use is very expensive, takes more time to install and requires more maintenance due to which ET is estimated with the help of a large number of empirical or semi-empirical formulae. A modification of ET concept is reference evapotranspiration ( $ET_0$ ) that provides a standard crop (a short, clipped grass) with an unlimited water supply so that a user can calculate maximum evaporative demand from that surface for a given day. This value, adjusted for a particular crop, is the consumptive use (or demand) and its deficit represents that component of consumptive use that goes unfilled during the given time period. This deficit value is the amount of water that must be supplied through irrigation to meet the water demand of crops [2, 3].

The FAO Penman-Monteith (FAO-56 PM) method is recommended as the standard method for determining  $ET_0$  as it is physically based and explicitly incorporates both physiological and aerodynamic parameters. The superior performance of this method

in various climates has been evaluated and confirmed by various researchers [3-8]. The method requires solar radiation, wind speed, air temperature and humidity data but all these input variables may not be available for a given location due to non-availability of well-established weather stations and thus, some parameters are not recorded. Especially in developing countries like India, quality of data and difficulties in gathering all necessary weather parameters can present serious limitations. The FAO Expert Consultation on Methodologies for Crop Water Requirements recommended that empirical methods be validated for new regions using standard FAO-56 PM method [5, 6].

Keeping in view the relevance of precise  $ET_0$  estimation, an attempt has been made in the present study to evaluate, decide and select alternative radiation-based methods to get almost at par  $ET_0$  values (from observed climatic data) on daily, weekly and monthly basis on the basis of their performance with widely acclaimed FAO-56 PM method as an index for Pantnagar, located in the sub-humid *Tarai* region of Uttarakhand.

## 2. MATERIALS AND METHODS

**Study area and weather dataset:** The present study was conducted to perform comparative analysis of different radiation-based  $ET_0$  methods for Pantnagar (79.49°E, 29.03°N, 243.80 m msl) on the basis of 24 years of daily meteorological dataset consisting of temperature (maximum and minimum); relative humidity (maximum and minimum) and duration of actual sunshine hours. The required meteorological dataset was obtained from Govind Ballabh Pant

University of Agriculture & Technology, Pantnagar (Uttarakhand).

**FAO-56 Penman Monteith Model:** The original Penman-Monteith combination equation, combined with equations of aerodynamic and surface resistance, called as “FAO Penman-Monteith equation” [3, 5] is given below:

$$ET_0 = \frac{0.408 \Delta (R_n - G) + \gamma \left( \frac{900}{T + 273} \right) u_2 (e_s - e_a)}{\Delta + \gamma (1 + 0.34 u_2)} \quad (1)$$

where  $ET_0$  is reference evapotranspiration ( $\text{mm day}^{-1}$ );  $R_n$  is net radiation at crop surface ( $\text{MJ m}^{-2} \text{day}^{-1}$ );  $G$  is soil heat flux density ( $\text{MJ m}^{-2} \text{day}^{-1}$ );  $T$  is mean daily air temperature ( $^{\circ}\text{C}$ );  $U_2$  is wind speed at 2 m height ( $\text{m s}^{-1}$ );  $e_s$  is saturation vapour pressure (kPa);  $e_a$  is actual vapour pressure (kPa);  $e_s - e_a$  is saturation vapour pressure deficit (kPa);  $\Delta$  is slope of vapour pressure curve ( $\text{kPa } ^{\circ}\text{C}^{-1}$ ) and  $\gamma$  is psychrometric constant ( $\text{kPa } ^{\circ}\text{C}^{-1}$ ).

The computation of daily  $ET_0$  using Eq. (1) requires meteorological parameters consisting of air temperature (maximum and minimum), mean daily actual vapour pressure ( $e_a$ ) derived from either dew point temperature or relative humidity (maximum and minimum), daily average of 24-hour wind speed measured at two meter height ( $u_2$ ), and net radiation ( $R_n$ ) measured or computed from solar and long-wave radiation or from actual duration of sunshine hours ( $n$ ). Since soil heat flux ( $G$ ) has a relatively small value, therefore, it was ignored for computing  $ET_0$  on daily basis [3].

The FAO-56 PM method is recommended as a standard one to compute  $ET_0$  as this method gives proximate close values with actual values measured in a wide range of location and climatic conditions and it was, therefore, chosen as index method in the present study to compute reference evapotranspiration.

**Radiation-based  $ET_0$  methods:** The commonly used 20 radiation-based  $ET_0$  equations considered in this study (Table 1) were evaluated with the help of Microsoft<sup>TM</sup> Excel<sup>®</sup> as computing tool.

**Assumptions and Statistical Analysis:** The analysis of results to draw fruitful inferences from them in terms of statistical indices was being done as it has been pointed out by [9, 10] that commonly used correlation measures e.g. correlation coefficient, coefficient of determination and tests of statistical significance in general are often inappropriate or misleading. Different statistical indices considered to evaluate performance of different methods includes Agreement index (D), Root Mean Square Error (RMSE), Mean Bias Error (MBE), MAXimum Absolute Error (MAXE), Percentage Error (%), Coefficient of determination ( $R^2$ ) and Standard Error of Estimate (SEE). The “Agreement Index” (D) is being

proposed [10-12] as a descriptive measure. On the basis of literature reviewed on different statistical indices, higher values of D and  $R^2$  (near to “1.0”), values near to “0.0” for RMSE, MBE, MAXE, PE and SEE were considered “good” for deciding the performance of considered methods. The quantification of under- and over-estimation of  $ET_0$  method as compared to that obtained with FAO-56 PM model was being done in terms of their ratio and its value near to “1.00” was considered “good”.

### 3. RESULTS AND DISCUSSIONS

#### Cross comparison of radiation-based $ET_0$ equations

The performance of 20 radiation-based  $ET_0$  equations was evaluated by comparing their daily, weekly and monthly  $ET_0$  estimates with those obtained with FAO-56 PM model. For weekly and monthly comparisons, daily  $ET_0$  values averaged over one week and month period were plotted against values obtained by FAO-56 PM method. The long-term daily, weekly and monthly average ratios of  $ET_0$  method/ $ET_0$  FAO-56 PM were computed in order to quantify over- and under-estimation of developed equations relative to FAO-56 PM  $ET_0$  values.

The statistical analysis of radiation-based  $ET_0$  equations for study area (Table 2) indicate that FAO24-Radiation method was best as it gave optimal value of D as 0.952 (daily basis), 0.961 (weekly basis) and 0.962 (monthly basis). The lowest values of MBE on daily, weekly and monthly basis were obtained with Irmak  $R_s$  method as 3.640, 3.597 and 4.077 respectively. The lowest values of SEE on daily basis (0.026) was obtained with Abtew method, whereas, lowest values of SEE on weekly and monthly basis were obtained with Turc method as 0.026 and 0.111 respectively. The best value of ratio of  $ET_0$  method to that of FAO-56 PM (almost equal to “1.00”) was obtained with Castaneda-Rao method as 0.995, 0.997 and 0.996 on daily, weekly and monthly basis respectively.

Considering calculated values of RMSE on daily, weekly and monthly basis, 10 best methods on daily basis were obtained as Stephens (0.844) followed by FAO24-Radiation (0.864), Priestley-Taylor (0.878), Hansen (0.908), Castaneda-Rao (0.933), modified Priestley-Taylor (0.936), Irmak  $R_s$  (0.966), de Bruin (1.020), Irmak  $R_n$  (1.021) and Stephens-Stewart (1.057). On weekly basis, the FAO24-Radiation method was found best with lowest RMSE value (0.704  $\text{mm day}^{-1}$ ), whereas, Stephens method was observed best on monthly basis as it gave lowest RMSE value as 2.798  $\text{mm day}^{-1}$  among all other considered methods.

Similarly, in terms of D values for study area, it is clear that FAO24-Radiation method was found best with values of D as 0.952, 0.961 and 0.962 on daily, weekly and monthly basis respectively. The Stephens and Priestley-Taylor methods gave almost same D values followed by modified Priestley-Taylor method on weekly and monthly basis at the study area.

#### 4. CONCLUSIONS

Considering the limitations associated with reliability and availability of good quality weather data especially in developing countries, the widely acclaimed and well-proven FAO-56 PM model cannot be used to estimate reference evapotranspiration due to which identification of simpler  $ET_0$  equations is required. In this study, the performance of 20 radiation-based  $ET_0$  equations as compared to FAO-56 PM model was evaluated.

The Castaneda-Rao method gave best estimate of FAO-56 PM model at all considered timescales. The  $ET_0$  equations proposed by McGuinness-Bordne, Berengena-Gavilan, Caprio, FAO24-Radiation, Irmak  $R_s$ , Irmak  $R_n$  and Hansen over-estimated FAO-56 PM model values, whereas, de Bruin, modified Priestley-Taylor, Stephens, Makink, Stephens-Stewart, Jensen-Haise, Xu-Singh, Jones-Ritchie, Turc, Christiansen and Abtew methods under-estimated it in the sub-humid environment prevailing at Pantnagar on daily, weekly and monthly basis.

On the basis of values of D and RMSE on daily, weekly and monthly basis, the performance of FAO24-Radiation  $ET_0$  method was found best, however, Abtew method was observed as worst.

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**Table 1** Details of radiation-based  $ET_0$  methods considered in the study

Model / method	Equation	References
Abtew (Abt)	$ET_0 = 0.408 \times 0.01786 \times R_s T_{\max}$	[13]
Berengena-Gavilan (BG)	$ET_0 = 0.408 \times 1.65 \left( \frac{\Delta}{\Delta + \gamma} \right) (R_n - G)$	[14]
Caprio (Cap)	$ET_0 = (0.01092708 T_{av} + 0.0060706) R_s$	[15]
Castaneda-Rao (CR)	$ET_0 = 0.408 \times 0.70 \left( \frac{\Delta}{\Delta + \gamma} \right) R_s - 0.12$	[16]
Christiansen (Chr)	$ET_0 = 0.408 \times 0.0385 \times R_s$	[17]
de Bruin (dBr)	$ET_0 = 0.408 \times 0.65 \left( \frac{\Delta}{\Delta + \gamma} \right) R_s$	[18]
FAO24-Radiation (FRad)	$ET_0 = 0.408 \times a \left( \frac{\Delta}{\Delta + \gamma} \right) R_s - 0.30$	[19]
Hansen (Han)	$ET_0 = 0.408 \times 0.70 \left( \frac{\Delta}{\Delta + \gamma} \right) R_s$	[20]
Irmak $R_n$ (Ir $R_n$ )	$ET_0 = 0.289 \times R_n + 0.023 \times T_{av} + 0.489$	[21]
Irmak $R_s$ (Ir $R_s$ )	$ET_0 = 0.149 \times R_s + 0.079 \times T_{av} - 0.611$	[21]
Jensen-Haise (JH)	$ET_0 = 0.408 \times C_T (T_{av} - T_x) R_s$	[22]
Jones-Ritchie (JR)	$ET_0 = 0.00387 \times (0.6 T_{\max} + 0.4 T_{\min} + 29) R_s \times \alpha$	[23]
Makkink (Mak)	$ET_0 = 0.408 \times 0.61 \left( \frac{\Delta}{\Delta + \gamma} \right) R_s - 0.12$	[24]
McGuinness-Bordne (MB)	$ET_0 = \left\{ (0.0082 \times T_{av} - 0.19) \left( \frac{R_s}{1500} \right) \right\} \times 2.54$	[25]
Modified Priestley-Taylor (MPT)	$ET_0 = 0.408 \times 1.18 \left( \frac{\Delta}{\Delta + \gamma} \right) (R_n - G)$	[13]
Priestley-Taylor (PT)	$ET_0 = 0.408 \times 1.26 \left( \frac{\Delta}{\Delta + \gamma} \right) (R_n - G)$	[26]
Stephens (Ste)	$ET_0 = 0.408 \times (0.0158 T_{av} + 0.09) \times R_s$	[27, 28]
Stephens-Stewart (SS)	$ET_0 = 0.408 \times (0.0148 T_{av} + 0.07) \times R_s$	[28]
Turc (Tur)	$ET_0 = (0.3107 \times R_s + 0.65) \times \left( \frac{T_1}{T_{av} + 15} \right)$	[29]
Xu-Singh (XS)	$ET_0 = 0.408 \times 0.98 \left( \frac{\Delta}{\Delta + \gamma} \right) (R_n - G) - 0.94$	[30]

$ET_0$  = reference crop evapotranspiration ( $\text{mm day}^{-1}$ ),  $G$  = soil heat flux density ( $\text{MJ m}^{-2} \text{day}^{-1}$ ),  $R_n$  = net radiation ( $\text{MJ m}^{-2} \text{day}^{-1}$ ),  $R_s$  = solar radiation ( $\text{MJ m}^{-2} \text{day}^{-1}$ ),  $T_{av}$  = average daily air temperature ( $^{\circ}\text{C}$ ),  $T_{\max}$  = maximum air temperature ( $^{\circ}\text{C}$ ),  $T_{\min}$  = minimum air temperature ( $^{\circ}\text{C}$ ),  $u_2$  = mean daily wind speed at 2 m height ( $\text{m s}^{-1}$ ),  $\Delta$  = slope of saturation vapor pressure–temperature curve ( $\text{kPa } ^{\circ}\text{C}^{-1}$ ),  $\gamma$  = psychrometric constant ( $\text{kPa } ^{\circ}\text{C}^{-1}$ ),  $\lambda$  = latent heat of vaporization ( $\text{MJ kg}^{-1}$ ), and  $a$ ,  $C_T$ ,  $T_1$ ,  $T_x$ ,  $\alpha$  = experimental coefficients.

**Table 2** Statistical performance of radiation-based  $ET_0$  values methods versus FAO-56 PM model for estimating

Methods	D	RMSE	MBE	MAXE	PE	R <sup>2</sup>	SEE	Ratio
Daily basis								
Abt	0.407	4.113	-3.647	-0.755	96.621	0.722	0.026	0.038
BG	0.880	1.445	1.045	3.897	27.968	0.809	0.953	1.321
Cap	0.899	1.399	1.006	3.852	26.839	0.866	0.881	1.268
CR	0.918	0.933	-0.269	1.293	7.324	0.831	0.575	0.995
Chr	0.418	3.965	-3.501	-0.709	92.742	0.728	0.049	0.082
dBr	0.898	1.020	-0.408	1.122	10.641	0.831	0.534	0.964
FRad	0.952	0.864	0.508	2.763	13.538	0.891	0.681	1.165
Han	0.922	0.908	-0.149	1.413	4.731	0.831	0.575	1.038
IrR <sub>n</sub>	0.889	1.021	0.045	1.309	4.535	0.805	0.537	1.135
IrR <sub>s</sub>	0.903	0.966	0.034	1.362	3.640	0.837	0.497	1.119
JH	0.860	1.484	-1.219	1.540	32.341	0.819	0.804	0.618
JR	0.604	2.401	-2.057	-0.343	54.456	0.878	0.267	0.477
Mak	0.854	1.219	-0.735	0.782	19.326	0.831	0.501	0.862
MB	0.750	2.327	1.859	5.562	49.628	0.662	1.382	1.601
MPT	0.924	0.936	-0.328	1.182	8.721	0.809	0.681	0.945
PT	0.937	0.878	-0.094	1.620	4.514	0.808	0.727	1.009
Ste	0.941	0.844	-0.392	0.896	10.259	0.865	0.583	0.916
SS	0.904	1.057	-0.707	0.506	18.625	0.866	0.534	0.828
Tur	0.421	3.936	-3.480	-0.762	92.195	0.862	0.039	0.086
XS	0.707	2.084	-1.851	-0.596	48.954	0.808	0.566	0.453
Weekly basis								
Abt	0.393	4.050	-3.639	-1.046	96.418	0.823	0.037	0.042
BG	0.881	1.331	1.040	2.530	27.826	0.841	0.794	1.311
Cap	0.905	1.243	1.006	2.451	26.822	0.909	0.650	1.273
CR	0.923	0.817	-0.269	0.738	7.347	0.905	0.368	0.997
Chr	0.404	3.903	-3.493	-0.976	92.550	0.822	0.049	0.085
dBr	0.898	0.923	-0.408	0.650	10.660	0.905	0.342	0.964
FRad	0.961	0.704	0.510	1.578	13.591	0.934	0.474	1.174
Han	0.928	0.789	-0.150	0.858	4.757	0.905	0.369	1.038
IrR <sub>n</sub>	0.894	0.923	0.042	0.875	4.549	0.838	0.446	1.116
IrR <sub>s</sub>	0.908	0.866	0.032	1.054	3.597	0.882	0.381	1.109
JH	0.858	1.395	-1.219	0.349	32.350	0.865	0.638	0.628
JR	0.588	2.356	-2.057	-0.493	54.459	0.937	0.168	0.478
Mak	0.846	1.142	-0.734	0.366	19.318	0.906	0.320	0.864
MB	0.752	2.227	1.849	4.576	49.262	0.739	1.216	1.548
MPT	0.929	0.828	-0.329	0.530	8.761	0.842	0.565	0.939
PT	0.944	0.755	-0.096	0.849	4.567	0.842	0.604	1.002
Ste	0.947	0.726	-0.392	0.417	10.255	0.915	0.411	0.919
SS	0.903	0.975	-0.706	0.131	18.600	0.914	0.379	0.831
Tur	0.409	3.876	-3.480	-1.047	92.193	0.924	0.026	0.085
XS	0.692	2.039	-1.847	-1.006	48.857	0.838	0.471	0.461
Monthly basis								
Abt	0.429	16.187	-14.519	-5.181	90.245	0.871	0.507	0.093
BG	0.885	5.402	4.152	9.821	26.444	0.874	3.147	1.286
Cap	0.903	5.207	4.364	8.496	27.131	0.929	2.574	1.276
CR	0.936	3.091	-0.990	2.240	6.558	0.928	1.464	1.003
Chr	0.440	15.588	-13.931	-4.835	86.580	0.872	0.545	0.135
dBr	0.915	3.513	-1.538	1.906	9.581	0.926	1.392	0.971
FRad	0.962	2.835	2.272	5.543	14.085	0.951	1.769	1.182

Han	0.941	2.990	-0.500	2.755	4.319	0.926	1.485	1.041
IrR <sub>n</sub>	0.917	3.466	0.291	3.297	5.149	0.867	1.941	1.107
IrR <sub>s</sub>	0.924	3.322	0.265	3.769	4.077	0.892	1.736	1.108
JH	0.855	5.740	-5.207	-1.486	32.322	0.895	2.341	0.636
JR	0.586	9.967	-8.792	-2.618	54.257	0.963	0.563	0.479
Mak	0.866	4.417	-2.858	0.861	17.714	0.928	1.306	0.876
MB	0.755	9.441	7.978	18.955	49.836	0.799	5.052	1.528
MPT	0.944	3.081	-1.311	1.654	8.144	0.878	2.302	0.936
PT	0.956	2.805	-0.382	2.928	4.487	0.878	2.440	0.996
Ste	0.954	2.798	-1.550	1.146	9.512	0.930	1.678	0.926
SS	0.912	3.833	-2.810	-0.049	17.327	0.929	1.577	0.842
Tur	0.405	16.486	-14.917	-5.520	92.150	0.934	0.111	0.085
XS	0.708	8.234	-7.473	-4.397	46.353	0.869	2.075	0.484

Abt = Abtew, BG = Berengena-Gavilan, Cap = Caprio, CR = Castaneda-Rao, Chr = Christiansen, dBr = de Bruin, FRad = FAO24-Radiation, Han = Hansen, IR<sub>s</sub>= Irmak R<sub>s</sub>, IR<sub>n</sub>= Irmak R<sub>n</sub>, JH = Jensen-Haise, JR = Jones-Ritchie, Mak = Makkink, MB = McGuinness-Bordne, MPT = Modified Priestley-Taylor, PT = Priestley-Taylor, Ste = Stephens, SS = Stephens-Stewart, Tur = Turc, XS = Xu-Singh, D = Agreement index, RMSE = Root Mean Square Error (mm day<sup>-1</sup>), MBE = Mean Bias Error (mm day<sup>-1</sup>), MAXE = Maximum Absolute Error (mm day<sup>-1</sup>), PE = Percentage Error of Estimate (%), R<sup>2</sup> = Coefficient of determination, SEE = Standard Error of Estimate (mm day<sup>-1</sup>), and Ratio = Ratio of ET<sub>0</sub> method/ET<sub>0</sub> FAO-56 PM.